

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Deliwala et al.

Examiner: Not yet known

Serial No.:

09/991,371

Group No.: 3653

Filed:

November 10, 2001

Attorney Docket No.: 053168-5003-02

For: ANISOTROPIC ETCHING OF OPTICAL

COMPONENTS

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INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.

APR 1 7 2002 GROUP 3000

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.97(b), the above-identified Applicant cites the following patents and publications, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. In compliance with 37 C.F.R. § 1.98(a), copies of the patents and publications set forth below and listed on the attached Form PTO/SB/08A have been provided.

CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, as
being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope
addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Name Daniel H. Golub

Signature Date of Deposit April 11, 2002

U.S. Patent Document No.	Patentee	Publication Date
5,880,491	Soref et al.	03/09/99
4,728,167	Soref et al.	03/01/88
4,693,547	Soref et al.	09/05/87
5,793,907	Jalali et al.	08/11/98
5,004,447	Soref	04/02/91
5,682,455	Kovacic et al.	10/28/97
5,908,305	Crampton et al.	06/01/99
6,002,515	Mizuuchi et al.	12/14/99
5,373,570	Menigaux et al.	12/13/94
5,420,947	Li et al.	05/30/95
5,761,355	Kuper et al.	06/02/98
5,555,329	Kuper et al.	09/10/96
5,652,816	Minami et al.	07/29/97
5,719,981	Katoh et al.	02/17/98
6,108,478	Harpin et al.	08/22/00
5,761,364	Knapp et al.	06/02/98
5,444,567	Kataoka	08/22/95
6,021,248	Cornish et al.	02/01/00
4,565,422	Seymour et al.	01/21/86
4,932,743	Isobe et al.	06/12/90
5,926,601	Tai et al.	07/20/99
6,222,689 B1	Higuchi et al.	04/24/01
5,577,141	Adar et al.	11/19/96
5,513,289	Hosokawa et al.	04/30/96
6,282,357 B1	Kadota et al.	08/28/01
6,017,681	Tsukamoto et al.	01/25/00
Foreign Patent Document No.	Patentee/Applicant	Publication Date
WO-01/20372-A2	Corning Incorporated	03/22/01
WO-00/73822-A2	Thomas & Betts	12/07/00
WO-98/35253-A1	Bookham Technology	08/13/98

Applicant respectfully requests that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Form PTO/SB/08A with the next official action.

Applicant also wishes to bring to the Examiner's attention the applications set forth on Exhibit A, all of which are owned by the assignee of the present invention, and which may disclose or claim subject matter in respect of which there may be a duty to disclose.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure

Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

The Commissioner is hereby authorized to charge any deficiency in the fees due for this Information Disclosure Statement to Deposit Account No. 50-0310. A duplicate of this paper is enclosed.

Respectfully submitted,

Daniel H. Golub Reg. No. 33,701

Attorney for Applicant

MORGAN, LEWIS & BOCKIUS LLP 1701 Market Street Philadelphia, PA 19103-2921 215.963.5055

Dated: April // , 2002

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PTO/SB/08A (10-01)
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Compl t if Kņown			
				Application Number	09/99/37/		
			CLOSURE	Filing Date	11/10/2001		
			PLICANT	First Named Inventor	Deliwala		
				Art Unit	3653		
(use	as many sh	heets as ne	acessary)	Examiner Name	Not get known		
Sheet		of	2	Attorney Docket Number	053168-5003-02		

	U.S. PATENT DOCUMENTS					
Examiner Initials		Document Number Number- Kind Code ² (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	Æ	US-5,880,491	03-09-1999	Sorefetal.		
	B	US-4,728,167	03-01-1988	Soret et al.		
	C	us- 4,693,547	09-05-1987	soret et al.		
	P	US-5, 793, 907	08-11-1998	Jalali et al.		
	E	US- 5,004,447	04-02-1991	Soref		
····	F	US- 5,682,455	10-10-199	Crampton et al.		
	9	US- 5, 908, 305	12-11-1999	Mizuuchi et al.		
	I	us-6,002,575 us-5,373,570	12-13-1994	Menigany et al.		
	4	US-5 420,947	15-30-1995	Li et al.		
	K		06-02-1998	Knoer et al.		
	1	us. 5,555.329	09-10-1996	Kuper et al.		
	m	US- 5 652,8/6	07-29-1997	Minami et al.		
	N	us- 5, 719 901	02-17-1998	Katoh et al.		
	0	us- 6, 108, 478	08-22-2000	Harpin et al.		
	10	US- 5, 761, 364	06-01-1998	Knapp et al.		
	10	US- 5, 444, 567	02-21-1795	Kataoka		
	R	US-6 021 248	01-01-1000	Seymour et al.		
	12	US- 4.565, 472	06-12-1990	Isobe et al.		

	FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code 3 - Number 4 - Kind Code 3 (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Ţ6			
	U,	WO-01/20372-AZ	03-22-2001	Corning Incorporate	/				
	W	WO-00/73022-AZ WO-98/35253-A/	08-13-1996	Bockham Feich					
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uscto.com or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				Complet if Known		
INFORMATION DISCLOSURE				Application Number	09/991.371	
				Filing Date	11/10/2001	
STATEMENT BY APPLICANT			PLICANT	First Named Inventor	Deliwala.	
				Art Unit	3653	
(use	as many sh	eets as nec	essary)	Examiner Name	Not get llnown	
Sheet	2	of	2	Attorney Docket Number	053/68-5003-02	

			U.S. PATE	NT DOCUMENTS	
Examiner Initials		Document Number Number- Kind Code ² (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	×	US- 5,926,601	01-20-1999	lai etal.	
	V	US-6.222 689 B1	04-24-2001	Hignohiet al.	
	2	US-5577 141	11-19-1996	Adar et al.	
	AA	us 5 5/3 284	04-30-1996	Hosokawa et al.	
	BB	US-6 282 35781	08-28-2001	Kadota et al.	
	60	US- 6,017,681	01-25-2000		
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Signature	Examiner	
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.usptc.com/ or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.